

12-05-01

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# Utility Patent Application Transmittal

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket Number STAN212

First Named Inventor Peter J. Oefner et al.

Title A Method for Determining Genetic Affiliation, Substructure and Gene Flow Within Human Populations

Express Mail Label No. EL 923 479 108 US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

**ADDRESS TO:**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

<b>1. Fee Transmittal Form (e.g., PTO/SB/17)</b> <small>(Submit an original and a duplicate for fee processing)</small>		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program ( <i>Appendix</i> )	
<b>2. Applicant claims small entity status.</b> See 37 CFR 1.27.		8. Nucleotide and/or Amino Acid Sequence Submission ( <i>if applicable, all necessary</i> )	
<b>3. Specification</b> (preferred arrangement set forth below)		a. <input type="checkbox"/> Computer Readable Form (CRF)	
<ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R&amp;D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claims(s)</li> <li>- Abstract of the Disclosure</li> </ul>		b. <input type="checkbox"/> Specification Sequence Listing on: <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-Rom or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> Paper</li> </ul>	
<b>4. Drawing(s) (35 U.S.C. 113)</b>		c. <input type="checkbox"/> Statements verifying identity of above copies	
<b>5. Oath or Declaration</b>		9. <input type="checkbox"/> Assignment Papers (cover sheet & documents(s))	
<ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))               <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</li> </ul> </li> </ul>		10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney ( <i>when there is an assignee</i> )	
<b>6. Application Data Sheet, See 37 CFR 1.76</b>		11. <input type="checkbox"/> English Translation Document ( <i>if applicable</i> )	
		12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations	
		13. <input type="checkbox"/> Preliminary Amendment	
		14. <input type="checkbox"/> Return Receipt Postcard (MPEP 503) ( <i>should be specifically itemized</i> )	
		15. <input type="checkbox"/> Certified Copy of Priority Document(s) ( <i>if foreign priority is claimed</i> )	
		16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent	
		17. <input type="checkbox"/> Other: _____	

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Divisional     Continuation-in-part (CIP)    of prior application No \_\_\_\_\_  
**Prior Application Information**    Examiner \_\_\_\_\_    Group Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**Fee Calculation****CLAIMS AS FILED**

For	#Filed	# Allowed	#Extra	Rate	Fee
Total Claims	27	20	7	X 9	\$63.00
Indep. Claims	7	3	4	X 42	\$168.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
					TOTAL CLAIM FEES \$231.00
					BASIC FILING FEE \$370.00
OTHER FEE (specify purpose) _____					TOTAL \$601.00

The Commissioner is authorized to charge any fees which may be required, or credit any overpayment to Deposit Account 50-0815. If additional fees are required, including extensions of time, please consider this a petition therefore. A duplicate copy of this sheet is enclosed.

**Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)**

19.  Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

**Warning**

An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.

**19. CORRESPONDENCE ADDRESS**

<input type="checkbox"/> Customer Number or Bar Code Label	 <small>(Insert Customer No. or attach bar code label here)</small>	<input type="checkbox"/> Correspondence Address below		
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